



B 210A (Form 210A) (12/09)

UNITED STATES BANKRUPTCY COURT

In re Lehman Brothers Holdings Inc. et al. Deb.

Case No. 08-13555 (JMP)

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Banque Cantonale Neuchâteloise

Name of Transferee

Name and Address where notices to transferee should be sent:

Banque Cantonale Neuchâteloise
Av. de la Gare 12, CH - 2013 Colombier

Phone: + 41 32 / 723.63.58

Last Four Digits of Acct #: _____

Name and Address where transferee payments should be sent (if different from above):

Phone: _____

Last Four Digits of Acct #: _____

UBS AG

Name of Transferor

Court Claim # (if known): 59233

Amount of Claim: CHF30,000.00

Date Claim Filed: _____

Phone: + 41 44 / 235.60.42

Last Four Digits of Acct. #: _____

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: _____
Transferee/Transferee's Agent

Date: 01/10/2013

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.


Christian Debrot
Fondé de pouvoir
Responsable Transactions
et opérations financières


Michel Chambettaz
Fondé de pouvoir
Resp. Post-Négoce

EVIDENCE OF PARTIAL TRANSFER OF CLAIM

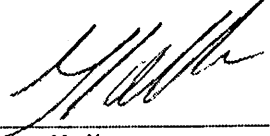
TO: THE DEBTOR AND THE BANKRUPTCY COURT

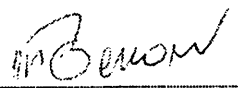
For value received, the adequacy and sufficiency of which are hereby acknowledged, UBS AG ("Transferor") unconditionally and irrevocably transferred to Banque Cantonale Neuchâteloise ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (Claim No. 59233) in the nominal amounts specified in schedule I related to the securities with International Securities Identification Numbers listed in Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS
EXECUTED THIS 10 day of January 2013.

UBS AG

By: 
Name: Hugo Koller
Title: Director

By: 
Name: Jean-Claude Besson
Title: Associate Director

SCHEDULE I

Lehman Programs Securities Related to Transferred Portion of Claim No. 59233:

ISIN	Court Claim #	Date Claim Filed	Issuer	Principal Amount/Nominal Amount/ Amount of Claim related to Security
XS0320322901	59233	October 30, 2009	Lehman Brothers Securities NV	CHF 30'000.00 out of CHF 17'798'000.00
XS0299386101	59233	October 30, 2009	Lehman Brothers Treasury Co. BV	CHF 125'000.00 out of CHF 6'480'000.00





United States Bankruptcy Court
Southern District of New York
Lehman Brothers Holdings
Claims Center
c/o Epiq Bankruptcy Solutions LLC
FTR Station, P.O. Box 5076
New York, NY 10150-5076
United States

N/réf. : LO/LO42
Tél. : +41 32 / 723 63 58
Fax + 41 32 / 723 63 21

Colombier, le 01/10/2013

Dear Sir or Madam,

Enclosed we send you Evidences of Transfers of Claim Form.

Thank you for your attention.

Yours faithfully,

BANQUE CANTONALE NEUCHÂTELOISE

A blue ink signature of Christian Debrot, consisting of a stylized 'C' followed by a series of loops and a final horizontal stroke.

Christian Debrot
Fondé de pouvoir
Resp. Transactions et
Opérations financières

A blue ink signature of Michel Chambettaz, featuring a stylized 'M' followed by a series of loops and a final horizontal stroke.

Michel Chambettaz
Fondé de pouvoir
Resp. Post-Négoce



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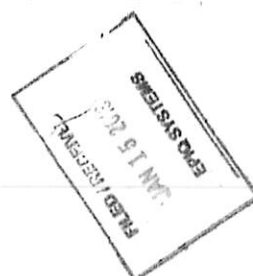
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Client: Persaud, Tony
Client - other



Internet: www.swisspost.ch



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AIR XRAY

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LOCAL HUB:

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DESTINATION:

NYC NY

US UNITED STATES

SPECIAL INSTRUCTIONS:

GLOBAL EXP DOCS

DELIVERY:
NEW YORK

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101505079



Destinataire
Addressee

Tel.
Phone

Nom
Name

UNITED STA

SOUTHERN DISTRICT
LEHMAN BROTHERS HOLDINGS

Rue
Street

CLAIMS CENTER

C/O EPIQ BANKRUPTCY SOLUTIONS
FTR STATION, P.O. BOX 5078

Echantillons
Samples

NPA/Lieu
ZIP/City

10150-5076 NEW YORK

Pays
Country

UNITED STATES OF AMERICA

Code ISO du pays
et NPA

Country ISO Code
and ZIP code

US - 10150-5076

Poids brut total / Total gross weight

0 kg 250 g

Goods certificate /

Permis d'exportation N°

Export licence No.

du

dated

Permis d'exportation pas nécessaire / Export licence not required

N° TVA du destinataire
VAT No. of the addressee

URGENT: Expédition de colis multiples MPS

URGENT: Multiple Parcel Shipments MPS

09:00 h

12:00 h

N° Impôt

Tax number